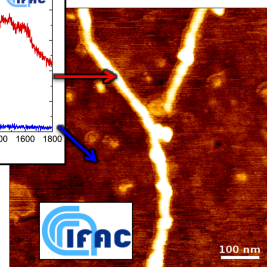
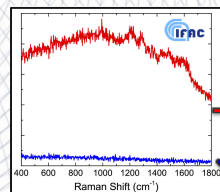
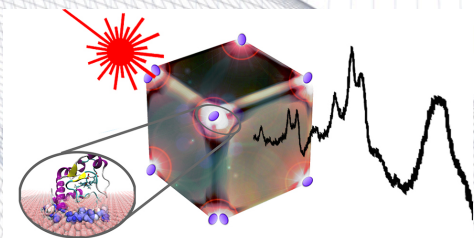
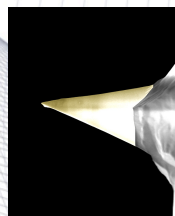
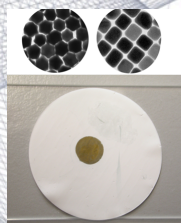


# Label-free plasmon-enhanced Raman detection of biomarkers in neurodegenerative disorders

Paolo MATTEINI

Institute of Applied Physics “Nello Carrara” (IFAC)  
Italian National Research Council (CNR)  
Florence – ITALY

*p.matteini@ifac.cnr.it*



**NanoBioSpectroscopy Lab.**  
**@ bnlab IFAC-CNR**

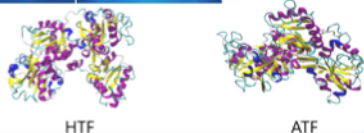
**@ bnlab IFAC-CNR**



*Small 2018,  
Materials 2019*



*J Biomed Opt* 2020 (in press)

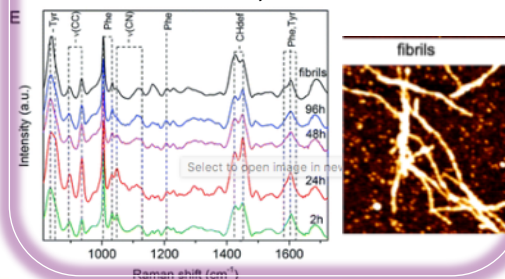


HTF

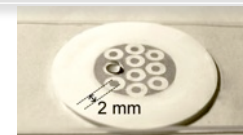
ATF

## Optical detection in neurological diseases

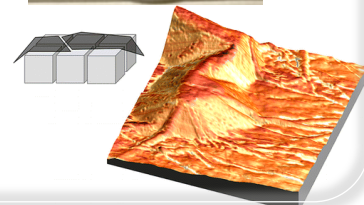
*Chem Comm 2019, Ann Neurol 2019*



## Fabrication of 2D/3D substrates for optical sensing (NPs, hybrid graphene coated)

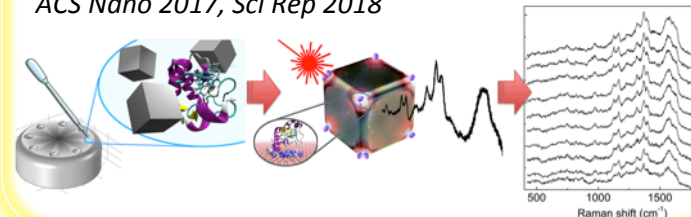


Beilstein J Nanotechnol 2016  
RSC Advances 2020



## Development of optical methods for biomedical analysis in physiological environments, in cells

ACS Nano 2017, Sci Rep 2018



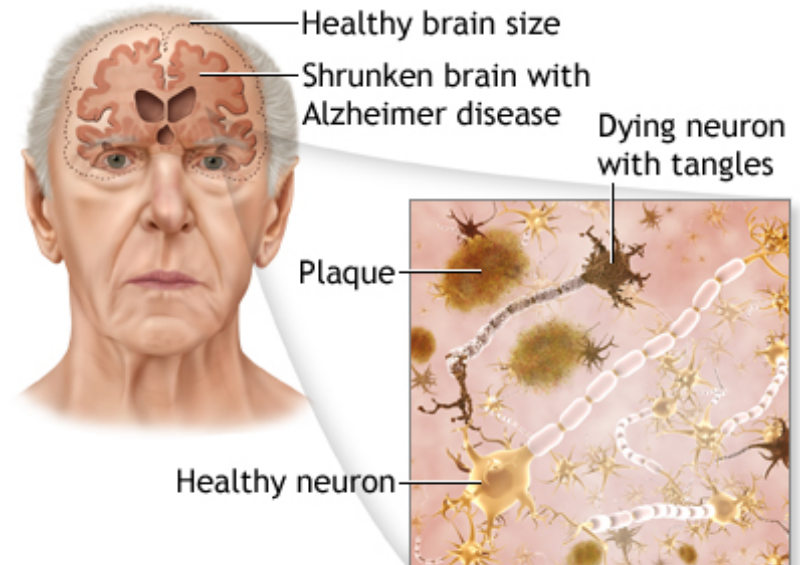
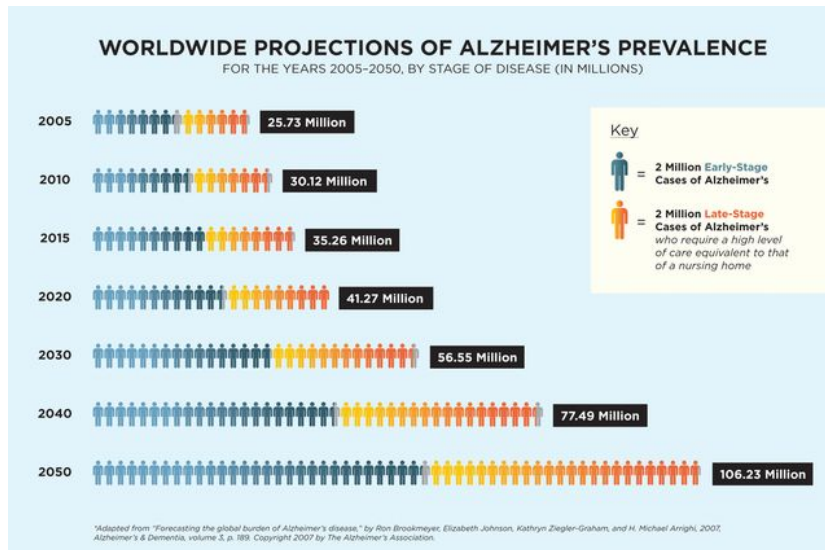
# Outline

---

- Alzheimer's disease (AD): current diagnostic strategies and their limits
- Amyloid Oligomers for early diagnosis of the Alzheimer's disease
- Raman & Plasmon Enhanced spectroscopy
- Identification and Characterization of Amyloid Oligomers
- Conclusions

# Alzheimer's Disease (AD)

- **Progressive neurodegeneration** of the brain
- Cognitive disabilities, memory loss, challenges with daily tasks
- AD: up to **70% of all dementia** cases
- **5<sup>th</sup> major cause of death** by the next 20 years
- **Early diagnosis is vital** since there are already medications in the market that may slow progress of disease. So earlier could allow to maintain a better level of brain activity

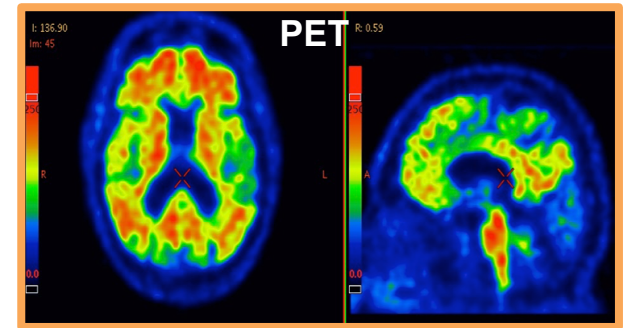
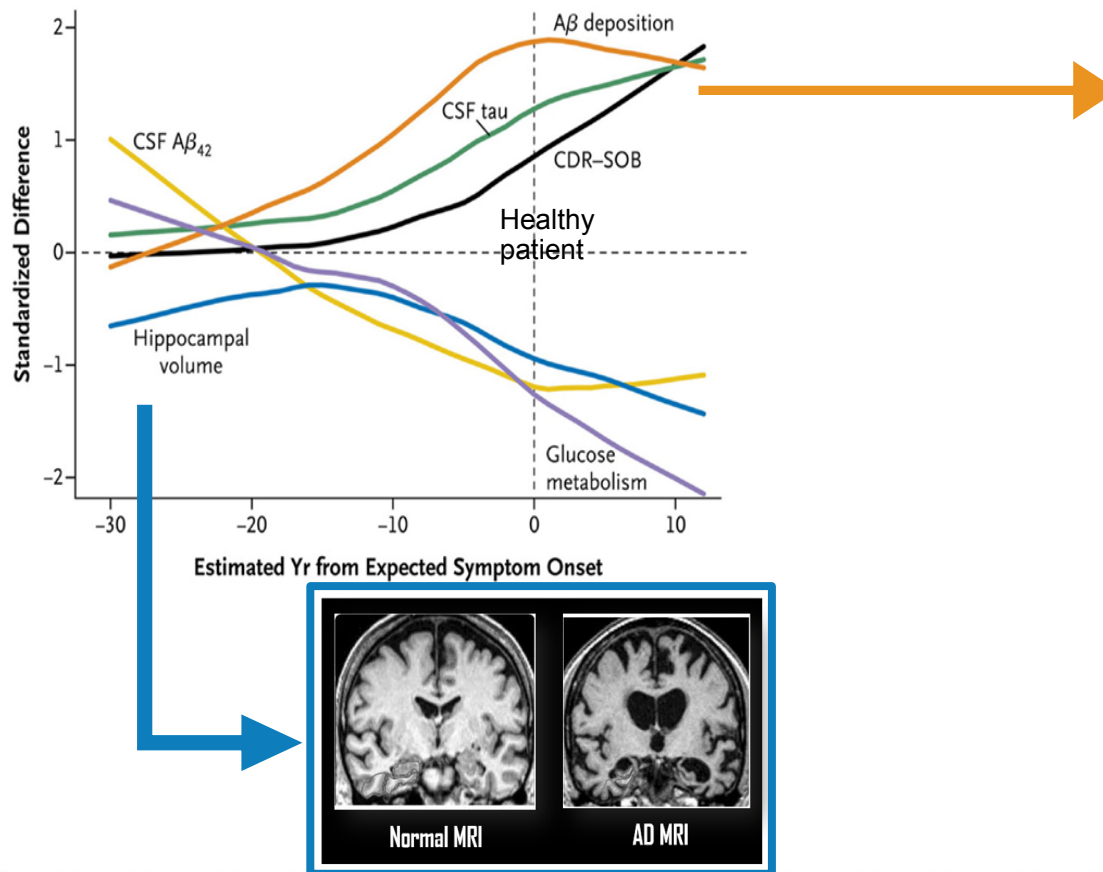




# Alternative diagnostic strategies of AD

The most widely accepted and validated predictors of AD are:

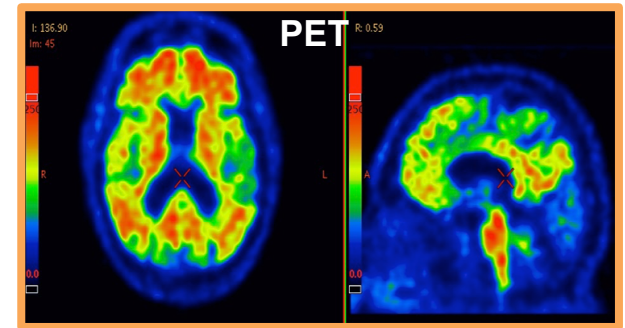
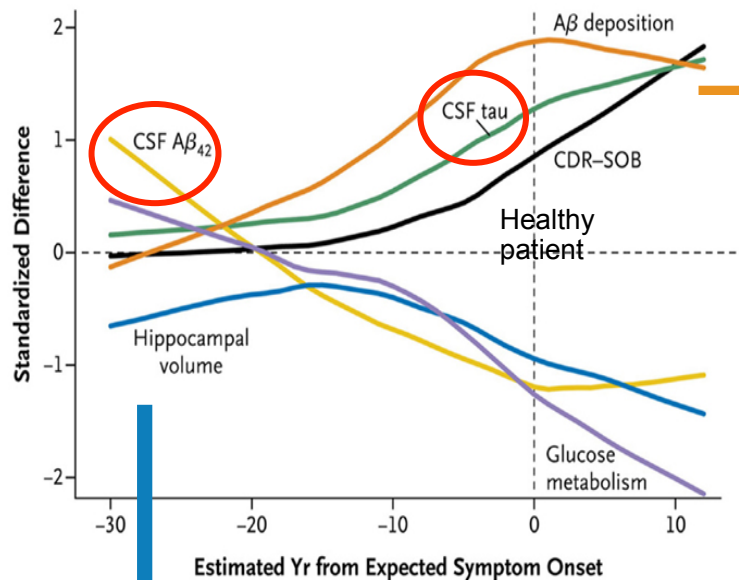
1. Brain atrophy by Magnetic Resonance Imaging (MRI)
2. Amyloid  $\beta$  deposition by Positron Emission Tomography (PET)
3. Molecular biomarker detection in Cerebrospinal Fluid (CSF)



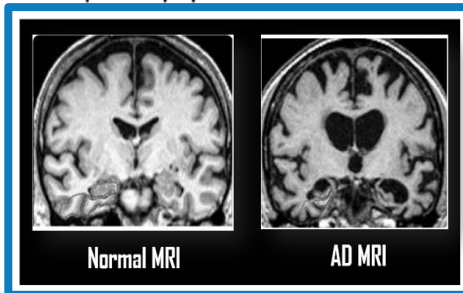
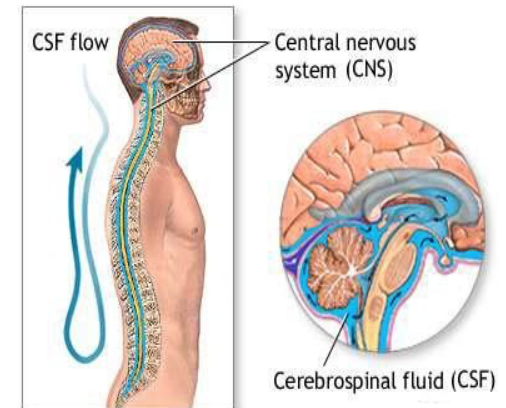
# Alternative diagnostic strategies of AD

The most widely accepted and validated predictors of AD are:

1. Brain atrophy by Magnetic Resonance Imaging (MRI)
2. Amyloid  $\beta$  deposition by Positron Emission Tomography (PET)
3. **Molecular biomarker detection in Cerebrospinal Fluid (CSF)**

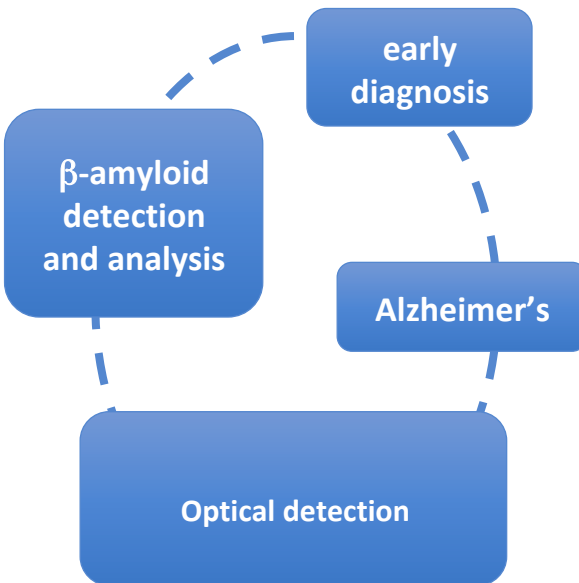


CSF in direct contact with the brain and reflects molecular changes occurring inside the brain



# A $\beta$ oligomers for early Alzheimer's disease diagnosis

- Misfolding of  $\beta$ -amyloid peptide and its oligomerization are early molecular events that lead to AD.
- Recent evidences suggest that trace-amounts of misfolded A $\beta$  might be found in peripheral tissues, like CSF, of patients in the very early stages of AD.



**SPEEDY Project:** **SPEEDY**  
Recognition of A $\beta$  oligomers in CSF and other biofluids by optical techniques (2018/2021)

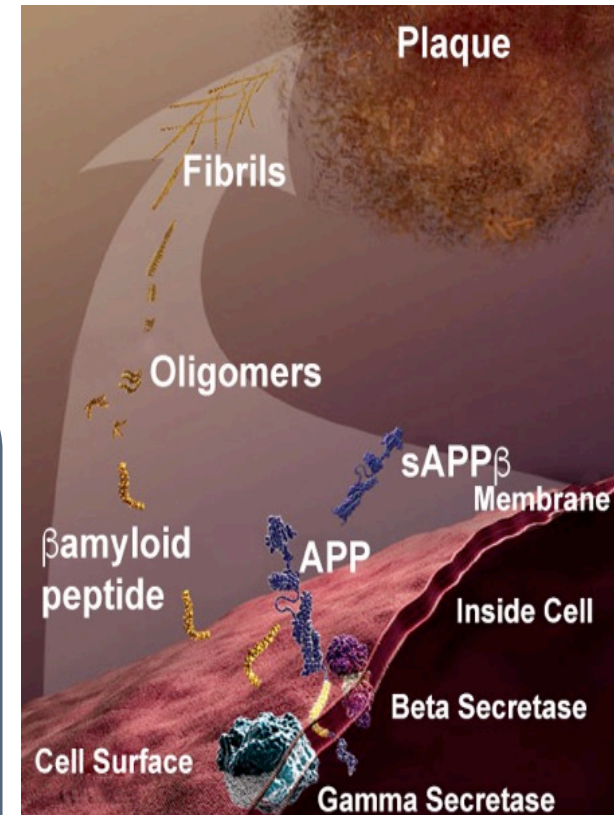
• IRCCS Foundation "Carlo Besta" Neurological Institute, Italy



• Tel-Aviv University

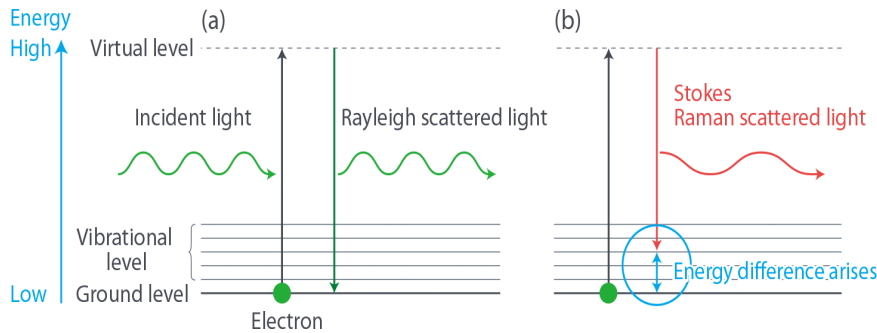


• SME: 3D-nano



# Raman & Plasmon Enhanced Raman Spectroscopy

Raman spectroscopy provides information on molecular vibrations (**fingerprint of molecules**) exploiting the inelastic scattered light by the sample under a Visible (or NIR) laser irradiation.

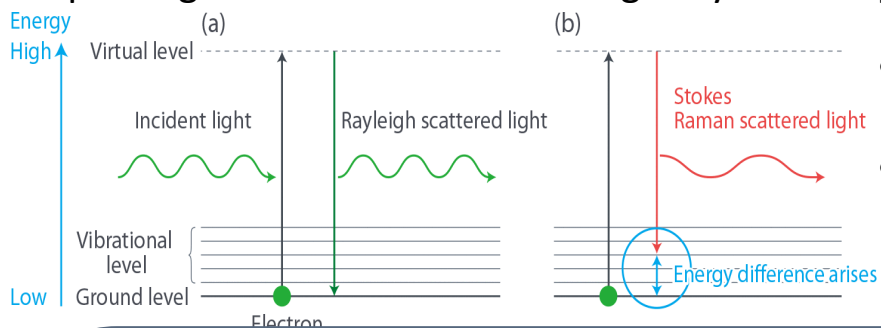


- Molecular identification, structural changes, folding states, etc.
- **Raman effect is very weak:** only a small portion (0.000001%) of the scattered radiation has frequencies different from that of the incident beam



# Raman & Plasmon Enhanced Raman Spectroscopy

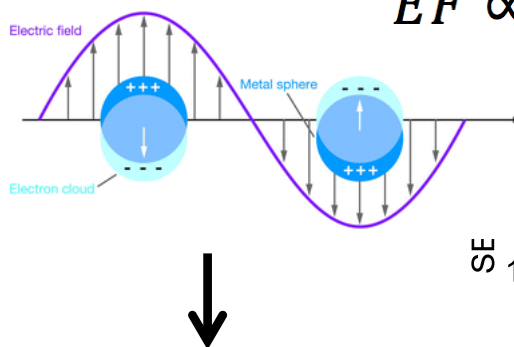
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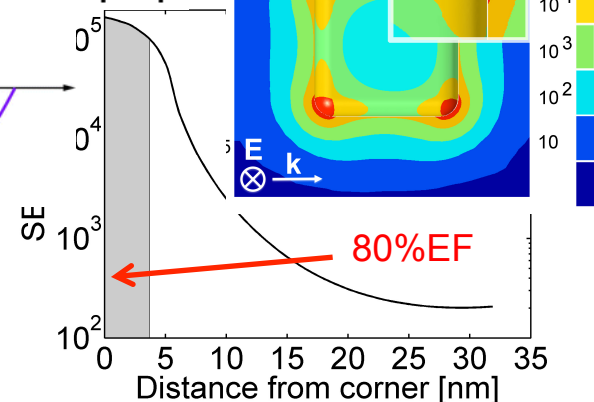
- Molecular identification, structural changes, folding states, etc.
- **Raman effect is very weak:** only a small portion (0.000001%) of the scattered radiation has frequencies different from that of the incident beam

- Plasmonic NPs **can increase the Raman signal** up to  $10^{14}$  once molecules are adsorbed on their surface (typical enhancement =  $10^4$ -  $10^7$ )

Upon light excitation of a metal nanoparticle the electric field of the radiation drives the **conduction electrons** into **collective oscillations on the particle surface** (Localized Surface Plasmon Resonances- LSPRs)

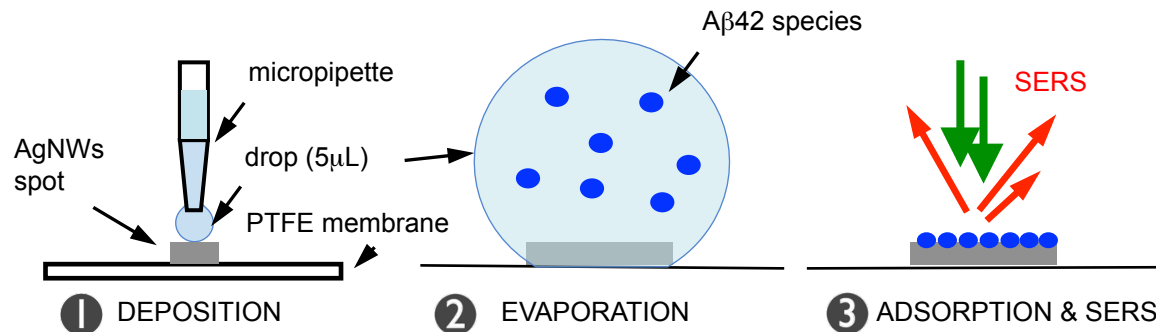
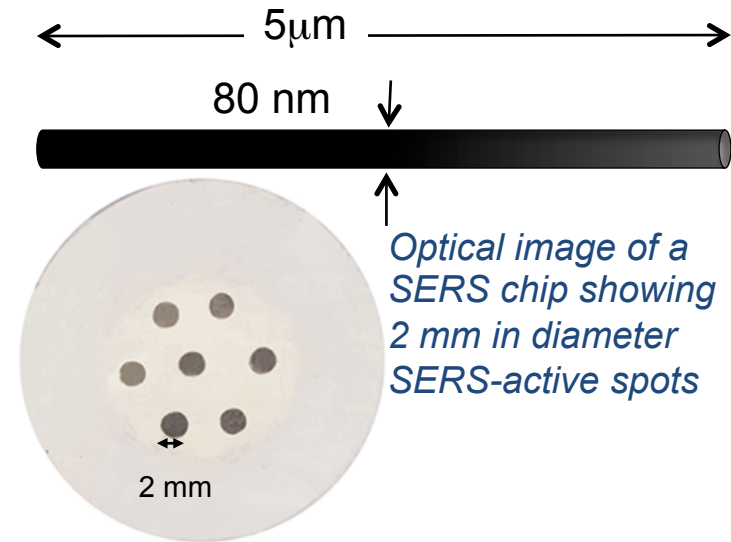
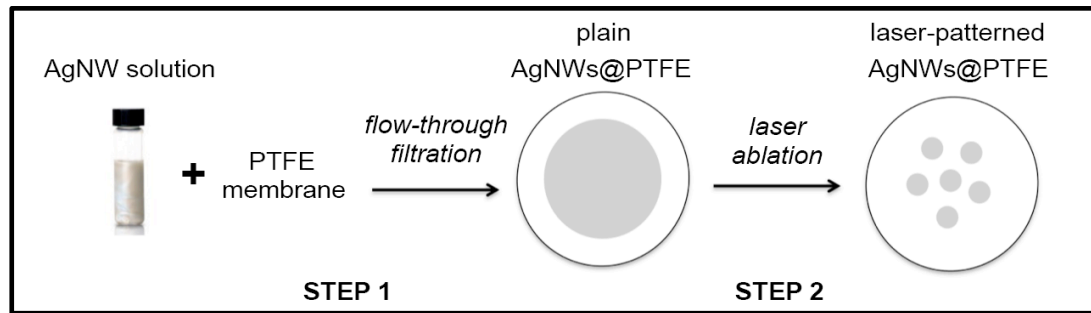


$$EF \propto |E|^4$$



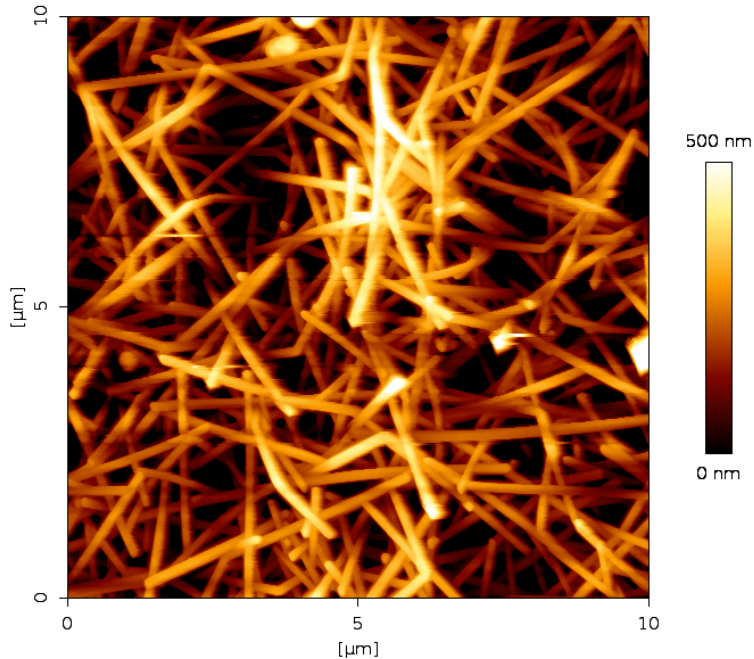
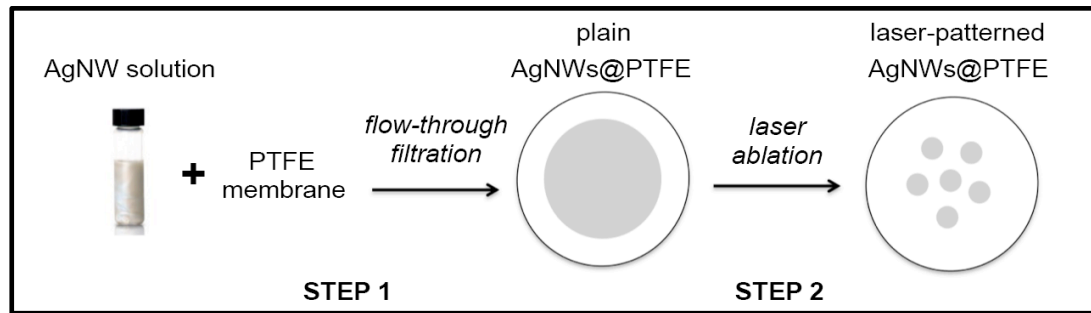
**Enhancement of the local electromagnetic field** (MAX at the nanoparticle surface and rapidly falls off with distance)

# AgNWs@PTFE membranes for SERS detection of A $\beta$

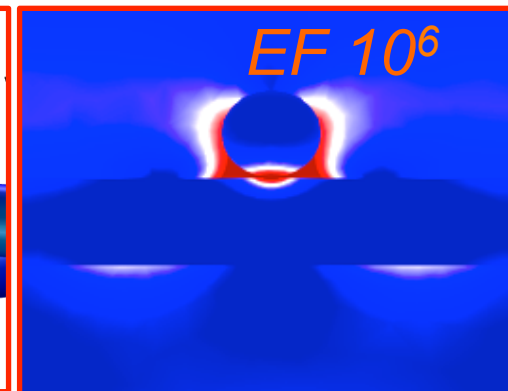
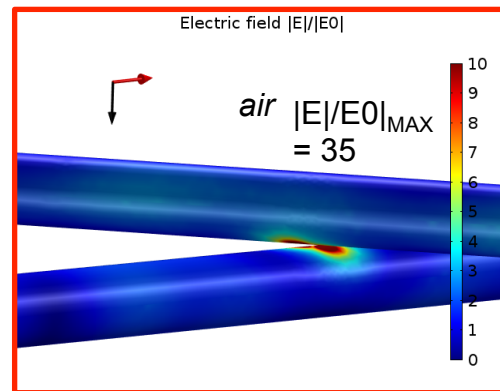
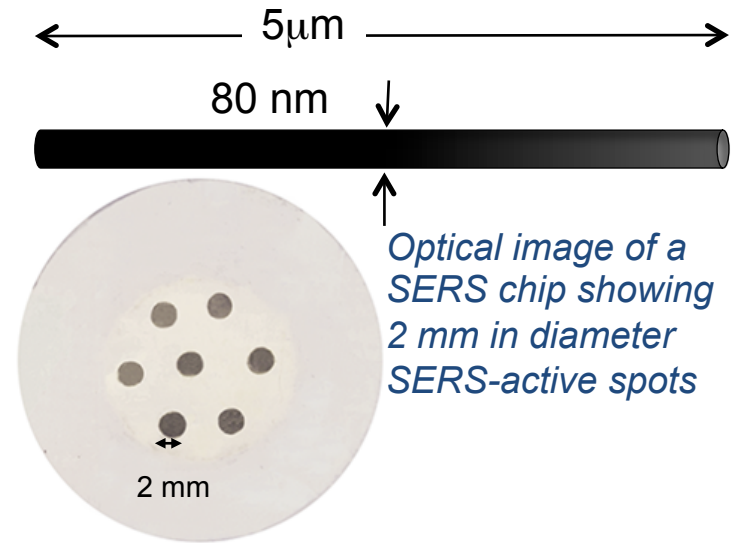


Banchelli M et al, *ChemNanoMat*. 2019, 5, 1036-43

# AgNWs@PTFE membranes for SERS detection of A $\beta$



Banchelli M et al, *ChemNanoMat*. 2019, 5, 1036-43



- Effective interstitial SERS hotspots ( $10/\mu\text{m}^2$ ) generated in the gaps between crossed AgNWs

# AgNWs@PTFE membranes for SERS detection of A $\beta$

Amyloid  $\beta_{(1-42)}$   
monomer

Hexafluoroisopropanol  
NaOH, PBS days 0-4

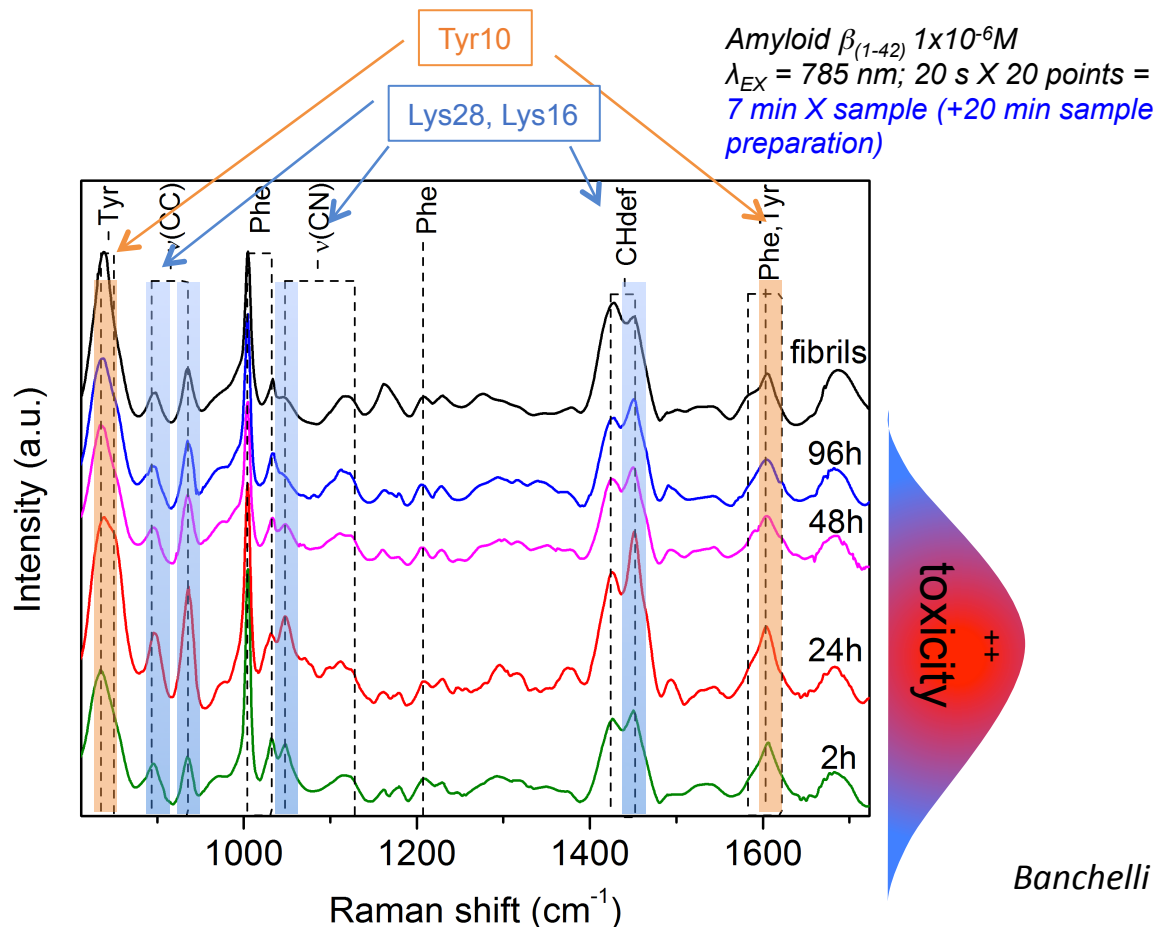


Amyloid  $\beta_{(1-42)}$   
**toxic oligomers** and  
non-toxic prefibrillar  
aggregates

Ladiwala et al  
J Biol Chem  
287 24765  
(2012)

## Biomarker detection at different aggregation stages

- Rapid procedure
- Nice signals at  $1 \times 10^{-6} \text{M}$  !
- Tracking amyloid conformation (and toxicity) by following **897, 935, 1047, 1460  $\text{cm}^{-1}$  of Lys** and **850, 1605  $\text{cm}^{-1}$  of Tyr**



Banchelli M et al, *RSC Adv* 2020, 10 21907



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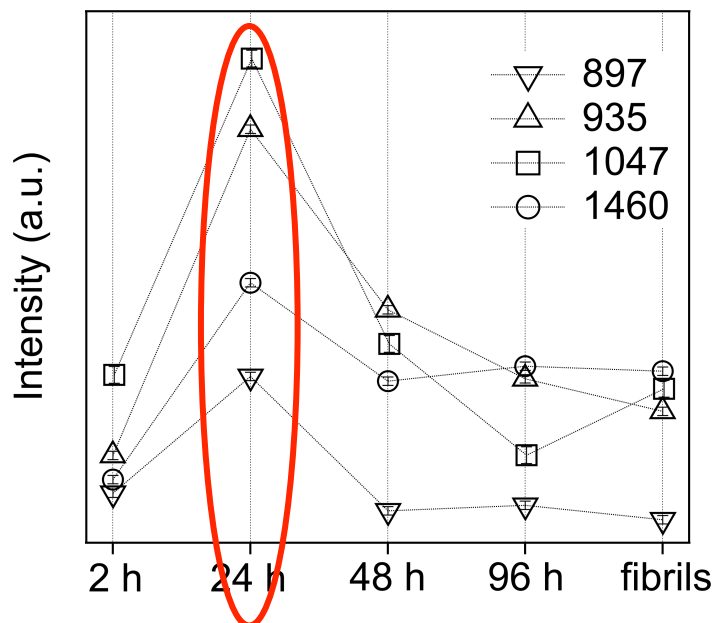
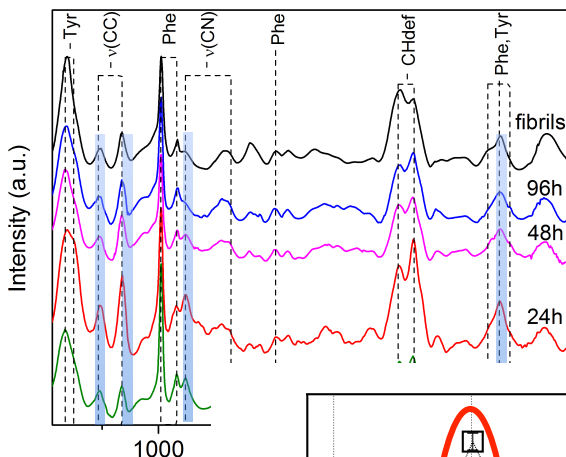
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Amyloid  $\beta_{(1-42)}$   $1 \times 10^{-6} \text{M}$   
 $\lambda_{\text{EX}} = 785 \text{ nm}$ ; 20 s X 20 points =  
 7 min X sample (+20 min sample preparation)



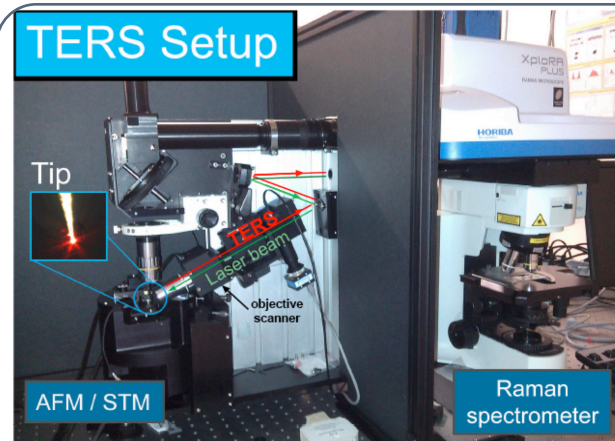
- Characteristic “toxic fingerprint” for the 24h - most neurotoxic species
- Neurotoxic stages can be identified from a body fluid sample and promptly diagnosed?
- Preliminary screening of potential/suspected AD patients



Banchelli M et al, *RSC Adv* 2020, 10 21907

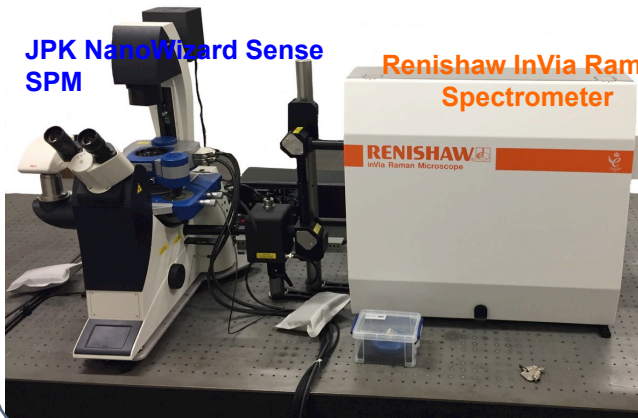
# Tip-Enhanced Raman Spectroscopy (TERS) discrimination of toxic vs non-toxic amyloid species

- TERS combines scanning probe microscopy (SPM) with optical (Raman) spectroscopy, conferring **chemical specificity to SPM** and **nano-resolution to Raman**



Horiba Xplora Plus Raman micro-spectrometer  
AIST NT – AFM equipped with STM module  
Reflection Mode-Side illumination  
Excitation Wavelength @638nm

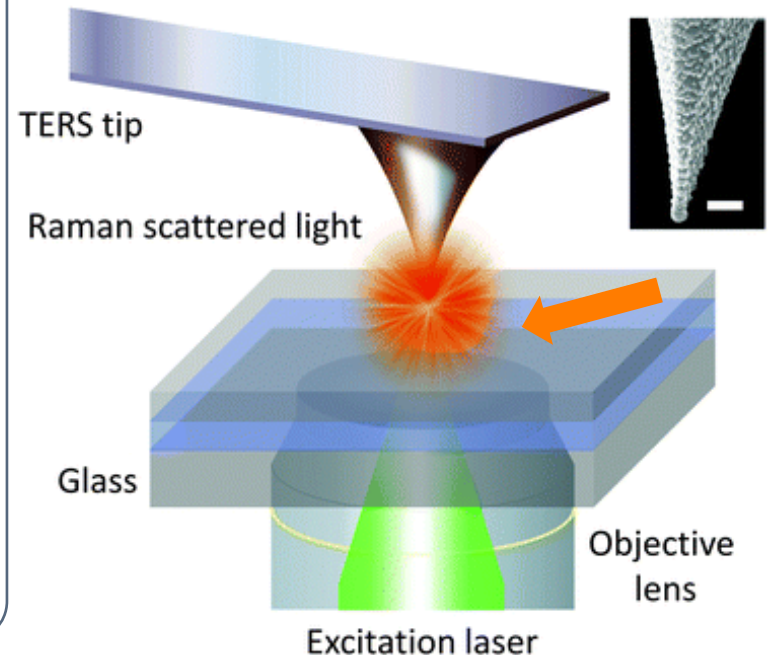
Dr. P. Gucciardi -IPCF – CNR Messina (Italy)



JPK NanoWizard Sense SPM + Renishaw InVia Raman Spectrometer  
Transmission Mode-Bottom Illumination  
Excitation Wavelength @632nm

Dr. P. Matteini -IFAC – CNR Sesto Fiorentino (Italy)

In **TERS** the enhancing substrate is reduced to a **single local 'hot spot'** at the end of a very sharp tip, which can be accurately positioned on the sample surface by SPM



# HypF-N as $\beta$ -amyloid olig. Model

The N-terminal domain of the *Escherichia coli* protein HypF (HypF-N) is a small stably folded  $\alpha/\beta$  protein with 91-residues (10 kDa).

It forms toxic oligomers and/or amyloid-like fibrils similar to those associated with Alzheimer's disease.



HypF-N  
Monomer  
(50  $\mu$ M)

## **TOXIC OLIG – TYPE A**

50 mM acetate buffer, 12% (v/v) trifluoroethanol (TFE)  
2 mM DTT, pH 5.5

- 2 distinct types of stable oligomers
- Same polypeptide sequence
- Similar morphological properties
- Different abilities to cause cellular dysfunction

## **NON-TOXIC OLIG - TYPE B**

20 mM trifluoroacetic acid (TFA) 330 mM NaCl, pH 1.7

C. Capitini et al **ChemComm** 54 8637 (2018)

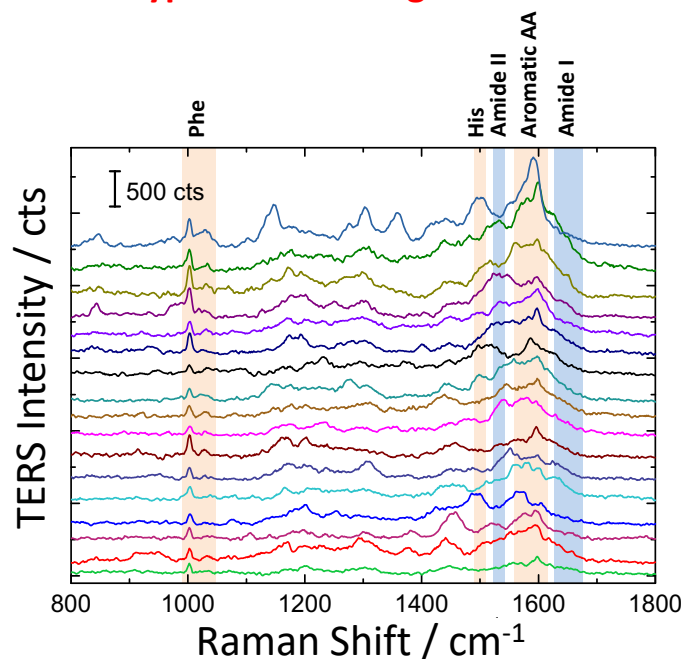
# TERS spectra

## TERS spectra

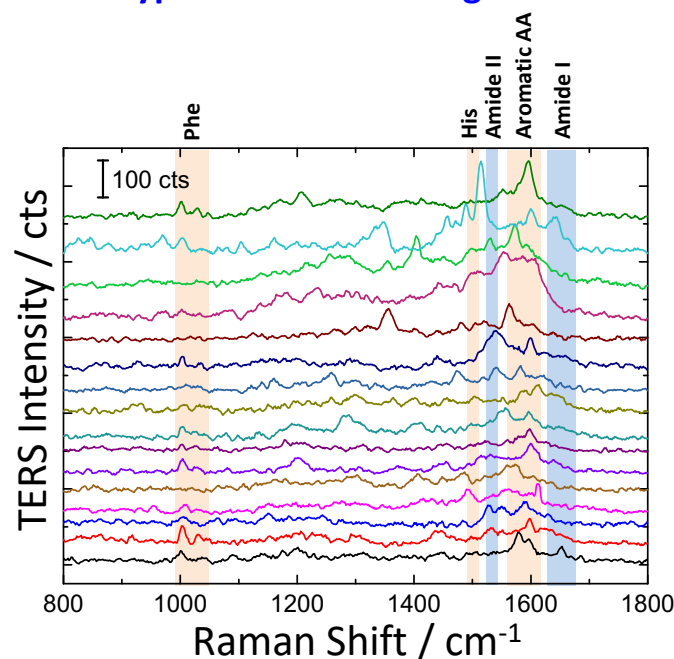
C. D'Andrea et al *Small* 14 1800890 (2018)

- High signal variations are consequential to the molecular complexity inspected at the nanoscale
- An immediate discrimination between Type A and B is not trivial
- A post-processing data analysis is required (fitting curves + statistical analysis)

a) **Type A – toxic oligomers**



b) **Type B – non-toxic oligomers**

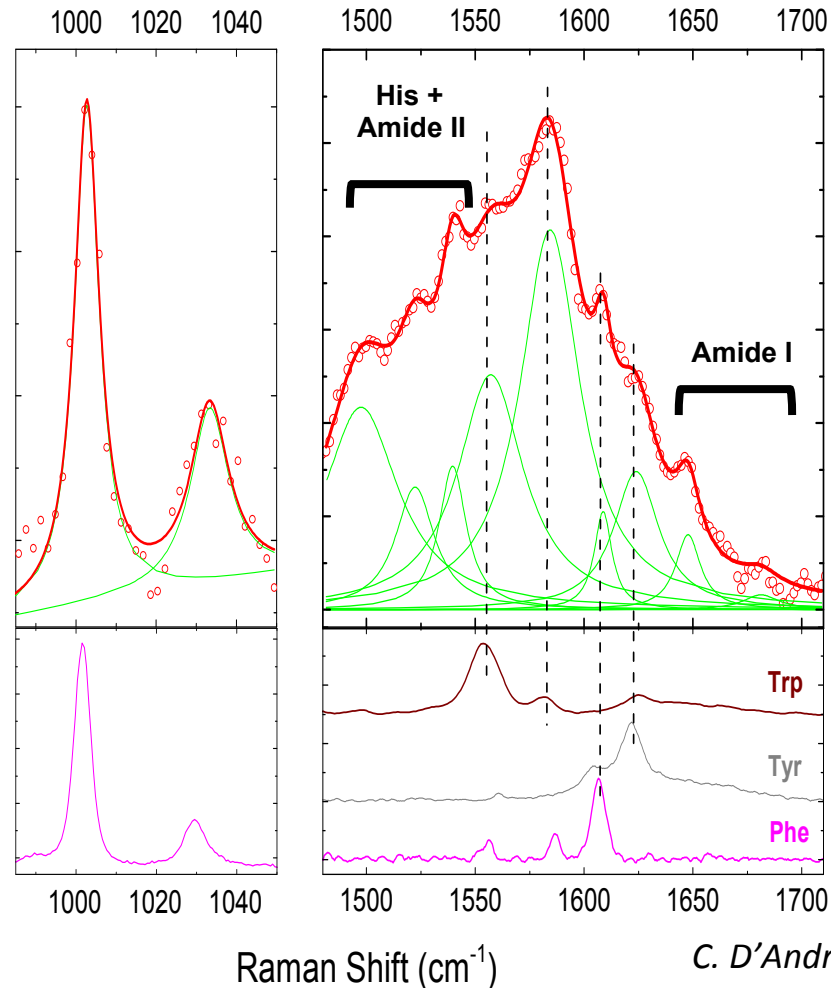


Samples prepared incubating a gold commercial substrate in the 1 $\mu\text{M}$  Oligs solution for 16h, then rinsing in water and drying in air.  
TERS maps: 64 points, 200nm x 200nm, step 25nm -  $\lambda_{\text{exc}}$  = 638nm – Power 2.51mW – Time 1s - Grating 1200 gr/mm



# TERS spectra analysis

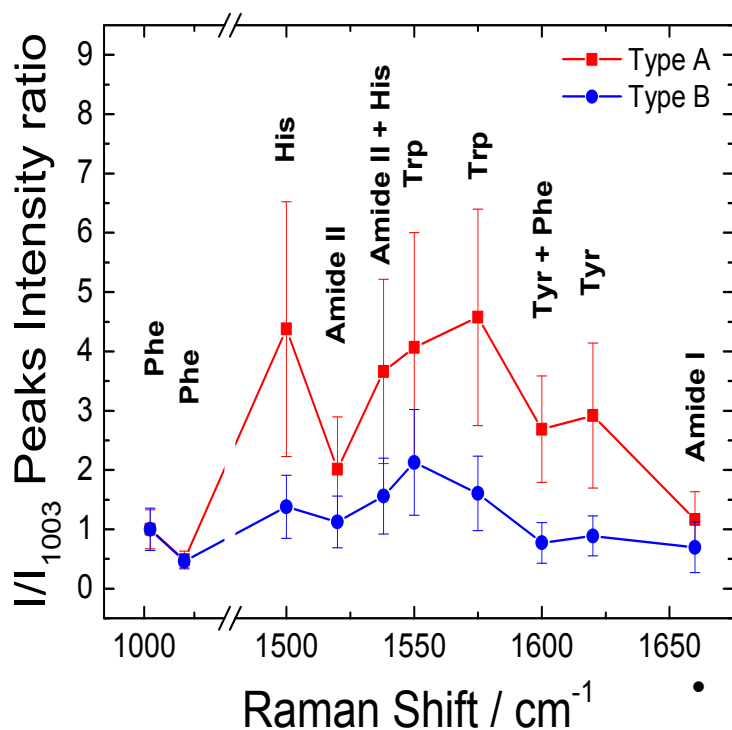
Spectra deconvolution through Lorentzian multi-peak fit



C. D'Andrea et al *Small* 14 1800890 (2018)

# TERS spectra analysis

Intensities were normalized to Phe mode at 1003  $\text{cm}^{-1}$  to rule out local fluctuations of the molecular density and EF of the sample.

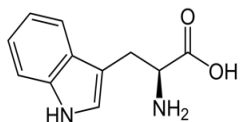


**Amide I** and **Amide II** modes intensities overlap ( $\approx$  Phe)

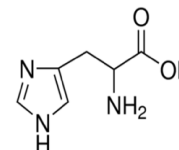
Histidine (**His**) and Aromatic Amino Acids (**Trp**, **Tyr**) are  $\approx$  4 times more intense for **type A (toxic)** oligomers

**Outer exposition of these AA on the surface of type A oligomers** where TERS tip can primarily boost their Raman intensity

• A **peculiar superficial structuring** of Type A could justify its tendency to **cause neuron dysfunction**



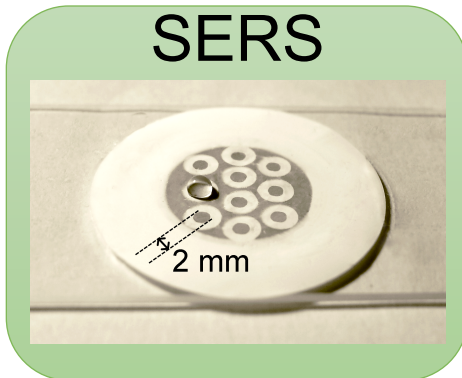
Fluctuations of His and Trp are expected due to the heterocyclic moieties and possible chemical interactions (charge transfer) with the gold tip



C. D'Andrea et al **Small** 14 1800890 (2018)

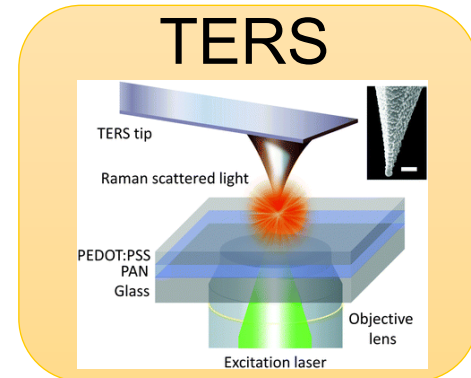
# Conclusions

SERS & TERS appear effective tools  
for neurological disease research



- **SERS identification of AD biomarkers**
- Simple procedure with minute amounts of liquid sample
- Low-cost SERS substrates are desirable in view of a clinical use

applied research  
diagnosis



- **TERS description of structural characteristics of amyloids that can be connected with particular functional activities (e.g. high toxicity levels)**
- TERS can support pharmacological research in identifying specific molecular targets

fundamental research  
therapy

# Acknowledgements

## People:

**NanoBioSpectroscopy group @ IFAC – CNR Florence**

Paolo Matteini - 1<sup>st</sup> Researcher  
Marella De Angelis – Researcher  
Cristiano D’Andrea - Researcher  
Martina Banchelli - Researcher  
Chiara Amicucci PhD student  
Edoardo Farnesi PhD student

## **Nanosoft lab @ IPCF – CNR Messina**

Pietro G. Gucciardi, Onofrio Maragò  
Antonino Foti

## **Dep. of Biochem., Exp. and Clinical Sciences, Univ. of Florence**

Fabrizio Chiti

*Thank You For  
Your Attention*

## Projects:

**SPEEDY  
(2019-21)**



**EuroNanoMed3**



European  
Commission

Horizon 2020  
European Union funding  
for Research & Innovation



*Surface-enhanced Raman scattering with nanophotonic and biomedical amplifying systems for an early diagnosis of Alzheimer's disease pathology - ID221, UE/MIUR, EuroNanoMed3 H2020 (2019-2021)*

**SENSOGM(2018-21)**



UNIONE EUROPEA



REPUBBLICA ITALIANA

Regione Toscana



*Development of biophotonic sensors for the environmental detection of GMOs (Regione Toscana, POR-CREO 2014-2020)*

**DESWEAT (2019-21)**



**Farnesina**

Ministero degli Affari Esteri  
e della Cooperazione Internazionale

*Development of a cost effective wearable metal nanowire-based chip sensor for optical monitoring of metabolites in sweat –MAECI Joint Res Proj Korea-Italy (2019-2021)*

**PRAMA (2020-23)**



Regione Toscana

*Proteomics, RAdiomics & Machine learning-integrated strategy for precision medicine for Alzheimer's (Regione Toscana, Bando Salute2018)*